



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 8304**  
Osamu SAKANAKA et al. : **Docket No. 2000-1081A**  
Serial No. 09/601,655 : **Group Art Unit 1625**  
Filed August 4, 2000 : **Examiner Binta M. Robinson**  
**NOVEL ANTIFUNGAL COMPOUND AND**  
**PROCESS FOR PRODUCING THE SAME : Mail Stop Amendment**

**REQUEST FOR EXAMINER-INITIALED PTO-1449 FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.


Sir:

On August 4, 2004 the Examiner faxed Applicants' attorney copies of seven abstracts. Four of these abstracts are for references which are already of record, and have been cited by, or initialed by, the Examiner (Applicants' Information Disclosure Statements of August 4, 2000 and March 26, 2003; and the PTO-892 form attached to the Office Action of November 6, 2000). The other three abstracts faxed by the Examiner are not of record.

Accordingly, Applicants are attaching a PTO-1449 form to cite these three abstracts which have not previously been made of record. The Examiner is kindly requested to initial each of these references and then return a copy of the initialed form to Applicants' attorney.

Respectfully submitted,

Osamu SAKANAKA et al.

By:   
Michael R. Davis  
Registration No. 25,134  
Attorney for Applicants

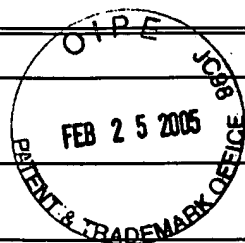
MRD/pth  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
February 25, 2005

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: February 25, 2005

ATTY DOCKET NO.  
2000-1081ASERIAL NO.  
09/601,655APPLICANT  
Osamu SAKANAKA et al.FILING DATE  
August 4, 2000GROUP  
1625

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
	AK						
	AL						
	AM						
	AN						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	Ueki et al., "UK-3A, a novel antifungal antibiotic from Streptomyces sp. 517-02: fermentation, isolation, structural elucidation and biological properties", Journal of Antibiotics (1997), 50(7), 551-555.
	AP	Ueki et al., "UK-2A, B, C and D, novel antifungal antibiotics from Streptomyces sp. 517.02. I. Fermentation, isolation, and biological properties", Journal of Antibiotics (1996), 49(7), 639-643.
	AQ	Hanafi et al., "The structures of UK-1 and UK-2, novel antibiotics from Streptomyces sp. 517.02", Tennen Yuki Kagobutsu Toronkai Koen Yoshishu (1994), 36th, 728-35.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.